SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

☐ Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person*	2. Issuer Name **and** Ticker or Trading Symbol	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)
CHURCHILL WINSTON J	AMKOR TECHNOLOGY, INC. [AMKR]	X Director 10% Owner
(Last) (First) (Middle)	3. Date of Earliest Transaction (Month/Day/Year) 05/11/2015	Officer (give title below) Other (specify below)
1200 LIBERTY RIDGE DRIVE SUITE 300	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line)
(Street)		X Form filed by One Reporting Person
WAYNE PA 19087		Form filed by More than One Reporting Person
(City) (State) (Zip)		

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8) Code	V	4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5) Amount	(A) or (D)	Price	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Amkor Technology, Inc. Common Stock	05/11/2017		M		20,000	A	$7.71	48,776	D	
Amkor Technology, Inc. Common Stock	05/11/2017		S		20,000	D	$11.99[1]	28,776	D	
Amkor Technology, Inc. Common Stock	05/11/2017		M		10,000	A	$10.97	38,776	D	
Amkor Technology, Inc. Common Stock	05/11/2017		S		10,000	D	$11.99[1]	28,776	D	
Amkor Technology, Inc. Common Stock	05/11/2017		M		16,598	A	$6.46	45,374	D	
Amkor Technology, Inc. Common Stock	05/11/2017		S		16,598	D	$11.99[1]	28,776	D	
Amkor Technology, Inc. Common Stock	05/12/2017		M		3,402	A	$6.46	32,178	D	
Amkor Technology, Inc. Common Stock	05/12/2017		S		3,402	D	$11.81[2]	28,776	D	
Amkor Technology, Inc. Common Stock	05/12/2017		M		6,598	A	$4.58	35,374	D	
Amkor Technology, Inc. Common Stock	05/12/2017		S		6,598	D	$11.81[2]	28,776	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8) Code	V	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) (A)	(D)	6. Date Exercisable and Expiration Date (Month/Day/Year) Date Exercisable	Expiration Date	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4) Title	Amount or Number of Shares	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
Director Stock Option (Right to Buy)	$7.71	05/11/2017		M			20,000	05/03/2011	05/03/2020	Amkor Technology, Inc.	20,000	$7.71	0	D	
(Director Stock Option (Right to Buy)	$10.97	05/11/2017		M			10,000	08/06/2008	08/06/2017	Amkor Technology, Inc.	10,000	$10.97	0	D	
Director Stock Option (Right to Buy)	$6.46	05/11/2017		M			16,598	05/03/2012	05/03/2021	Amkor Technology, Inc.	16,598	$6.46	3,402	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Director Stock Option to Buy	$6.46	05/12/2017		M			3,402	05/03/2012	05/03/2021	Amkor Technology, Inc.	3,402	$6.46	0	D	
Director Stock Option to Buy	$4.58	05/12/2017		M			6,598	05/08/2013	05/08/2022	Amkor Technology, Inc.	6,598	$4.58	13,402	D	

Explanation of Responses:

1. The price reported in Column 4 is a weighted average price. The shares were sold in multiple transactions at prices ranging from 11.90 to 12.02.

2. The price reported in Column 4 is a weighted average price. The shares were sold in multiple transactions at prices ranging from 11.80 to 11.86.

Remarks:

Jerry C. Allison, Attorney-in-Fact for Winston J. Churchill   05/15/2017

** Signature of Reporting Person   Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, *see* Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.