SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB Number:	3235-0287										
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Ad Faust Meg	ldress of Reporting <u>an</u>	Person*	2. Issuer Name and Ticker or Trading Symbol <u>AMKOR TECHNOLOGY, INC.</u> [AMKR]	(Check	tionship of Reporting Pe all applicable) Director Officer (give title	rson(s) to Issuer 10% Owner Other (specify		
(Last) 2045 E INNO	(First) (Middle) E INNOVATION CIRCLE		3. Date of Earliest Transaction (Month/Day/Year) 10/21/2022		below)	below)		
(Street)			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line)				
TEMPE	AZ	85284		X	Form filed by One Rep	porting Person		
(City)	(State)	(Zip)	_		Form filed by More the Person	an One Reporting		
		Table I - Non-De	rivative Securities Acquired, Disposed of, or Benef	icially	Owned			

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Transaction Code (Instr.		4. Securities J Disposed Of 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1130. 4)
Common Stock	10/21/2022		М		6,000	Α	\$9.48	14,512	D	
Common Stock	10/21/2022		S ⁽¹⁾		6,000	D	\$19.07	8,512	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of E		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Employee Stock Option (Right-to- Buy)	\$ 9.48	10/21/2022		М			6,000	02/15/2020 ⁽²⁾	02/15/2029	Common Stock	6,000	\$0.00	88,500	D	

Explanation of Responses:

1. The sale reported in this Form 4 was effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person on May 10, 2022.

2. This stock option (the "Option") to acquire 150,000 shares of the Issuer's common stock (the "Option Shares") was granted on February 15, 2019 (the "Grant Date") and vests over four years as follows: (i) with respect to 25% of the Option Shares, on the first anniversary of the Grant Date; and (ii) with respect to the remainder of the Option Shares, in equal quarterly installments thereafter, such that 100% of the Option will vest on the fourth anniversary of the Grant Date.

Remarks:

<u>Mark N. Rogers, Attorney-in-</u> <u>Fact for Megan Faust</u> <u>10/21/2022</u>

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.