## SEC Form 5

# FORM 5

)	Check this box if no longer subject Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).
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Form 3 Holdings Reported.

6. Form 4 or Form 5

to

### ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:	3235-0362					
Estimated average burden						
hours per response:	1.0					

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 Form 4 Transactions Reported. or Section 30(h) of the Investment Company Act of 1940 2. Issuer Name and Ticker or Trading Symbol 5. Relationship of Reporting Person(s) to Issuer 1. Name and Address of Reporting Person (Check all applicable) AMKOR TECHNOLOGY, INC. [ AMKR ] KIM SUSAN Y Director X 10% Owner Х Officer (give title Other (specify X 3. Statement for Issuer's Fiscal Year Ended (Month/Dav/Year) below) below) (Last) (Middle) (First) 12/31/2019 Exhibit 99.1 2045 EAST INNOVATION CIRCLE 4. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Applicable (Street) Line) TEMPE AZ 85284 Х Form filed by One Reporting Person Form filed by More than One Reporting Person (City) (State) (Zip)

#### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	Date I (Month/Day/Year) i	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)				5. Amount of Securities Beneficially Owned at end of	6. Ownership Form: Direct (D) or	7. Nature of Indirect Beneficial Ownership		
				Amount	(A) or (D)	Price	Issuer's Fiscal Year (Instr. 3 and 4)	Indirect (I) (Instr. 4)	(Instr. 4)		
Common Stock	01/30/2019		<b>J</b> (1)	95,000	D	\$7.88	36,720,025 <sup>(7)</sup>	I	By self as trustee		
Common Stock	04/29/2019		<b>J</b> (2)	308,000	D	\$9.15	36,720,025 <sup>(7)</sup>	I	By self as trustee		
Common Stock	07/12/2019		J <sup>(3)</sup>	800,000	D	\$7.48	36,720,025 <sup>(7)</sup>	I	By self as trustee		
Common Stock	07/12/2019		<b>J</b> <sup>(3)</sup>	800,000	A	\$7.48	32,562,517 <sup>(7)</sup>	D			
Common Stock	10/09/2019		<b>J</b> <sup>(4)</sup>	215,700	D	\$9.65	36,720,025 <sup>(7)</sup>	I	By self as trustee		
Common Stock	10/09/2019		<b>J</b> <sup>(4)</sup>	215,700	A	\$9.65	32,562,517 <sup>(7)</sup>	D			
Common Stock	10/17/2019		<b>J</b> <sup>(5)</sup>	4,000,000	A	\$9.88	36,720,025 <sup>(7)</sup>	I	By self as trustee		
Common Stock	12/16/2019		<b>J</b> (6)	443,100	D	\$12.92	36,720,025 <sup>(7)</sup>	I	By self as trustee		

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 1. Title of Derivative 3. Transaction 3A. Deemed Execution Date, 5. Number 6. Date Exercisable and 7. Title and 8. Price of Derivative 9. Number of 11. Nature 10. Conversion Date Transaction Amount of derivative Ownership of Indirect of Expiration Date if anv Form: Direct (D) Security or Exercise (Month/Dav/Year) Code (Instr. Derivative (Month/Day/Year) Securities Security Securities **Beneficial** (Instr. 3) (Month/Day/Year) 8) (Instr. 5) Beneficially Price of Securities Underlying Ownership Derivative Acquired Derivative Owned or Indirect (Instr. 4) Security (A) or Disposed Security (Instr. 3 Following (I) (Instr. 4) and 4) Reported of (D) (Instr. 3, 4 Transaction(s) (Instr. 4) and 5) Amount Number Date Expiration of Shares (A) (D) Exercisable Date Title

#### Explanation of Responses:

1. On January 30, 2019, the James J. Kim 2014 Qualified Annuity Trust U/A dated 10/13/14 distributed 95,000 shares of the Issuer's Common Stock to James J. Kim. James J. Kim and Susan Y. Kim are co-trustees of the trust.

2. On April 29, 2019, the Qualified Annuity Trust under the John T. Kim 2018 Irrevocable Trust Agreement Dated 2/6/18 distributed 308,000 shares of the Issuer's Common Stock to John T. Kim. Susan Y. Kim and John T. Kim are co-trustees of the trust.

3. On July 12, 2019, the Susan Y. Kim 2015 Irrevocable Trust U/A Dated March 16, 2015 distributed 800,000 shares of the Issuer's Common Stock to Susan Y. Kim. Susan Y. Kim and John T. Kim are co-trustees of the trust.

4. On October 9, 2019, the Qualified Annuity Trust under the Susan Y. Kim 2018-1 Irrevocable Trust Agreement dtd August 29, 2018 distributed 215,700 shares of the Issuer's Common Stock to Susan Y. Kim. Susan Y. Kim and James J. Kim are co-trustees of the trust.

5. On October 17, 2019, James J. Kim transferred 4,000,000 shares of the Issuer's Common Stock to the James J. Kim 2019-1 Qualified Annuity Trust U/A Dated 9/10/19. James J. Kim and Susan Y. Kim are co-trustees of the trust.

6. On December 16, 2019, the James J. Kim 2018-1 Qualified Annuity Trust U/A dtd 8/30/18 transferred 443,100 shares of the Issuer's Common Stock to James J. Kim. James J. Kim and Susan Y. Kim are co-trustees of the trust.

7. The reporting person disclaims beneficial ownership of these securities, except to the extent of the reporting person's pecuniary interest therein, and this report shall not be deemed an admission that the reporting person is the beneficial owner of such securities, except to the extent of the reporting person's pecuniary interest therein, for purposes of Section 16 of the Securities Exchange Act of 1934, as amended, or for any other purpose.

**Fact** 

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $\ast$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Know all by these presents, that the undersigned hereby makes, constitutes and appoints Mark N. Rogers as the undersigned's true and lawful attorney-in- fact, with full power and authority as hereinafter described on behalf of and in the name, place and stead of the undersigned to:

(1) prepare, execute, acknowledge, deliver and file Forms 3, 4, and 5 (including any amendments thereto) with respect to the securities of Amkor Technology, Inc., a Delaware corporation (the "Company"), with the United States Securities and Exchange Commission, any national securities exchanges and the Company, as considered necessary or advisable under Section 16(a) of the Securities Exchange Act of 1934 and the rules and regulations promulgated thereunder, as amended from time to time (the "Exchange Act");

(2) seek or obtain, as the undersigned's representative and on the undersigned's behalf, information on transactions in the Company's securities from any third party, including brokers, employee benefit plan administrators and trustees, and the undersigned hereby authorizes any such person to release any such information to the undersigned and approves and ratifies any such release of information; and

(3) perform any and all other acts which in the discretion of such attorneyin- fact are necessary or desirable for and on behalf of the undersigned in connection with the foregoing.

The undersigned acknowledges that:

(1) this Power of Attorney authorizes, but does not require, such attorneyin- fact to act in their discretion on information provided to such attorney-infact without independent verification of such information;

(2) any documents prepared and/or executed by such attorney-in-fact on behalf of the undersigned pursuant to this Power of Attorney will be in such form and will contain such information and disclosure as such attorney-in- fact, in his or her discretion, deems necessary or desirable;

(3) neither the Company nor such attorney-in-fact assumes (i) any liability for the undersigned's responsibility to comply with the requirements of the Exchange Act, (ii) any liability of the undersigned for any failure to comply with such requirements, or (iii) any obligation or liability of the undersigned for profit disgorgement under Section 16(b) of the Exchange Act; and

(4) this Power of Attorney does not relieve the undersigned from responsibility for compliance with the undersigned's obligations under the Exchange Act, including without limitation the reporting requirements under Section 16 of the Exchange Act.

The undersigned hereby gives and grants the foregoing attorney-in-fact full power and authority to do and perform all and every act and thing whatsoever requisite, necessary or appropriate to be done in and about the foregoing matters as fully to all intents and purposes as the undersigned might or could do if present, hereby ratifying all that such attorney-in-fact of, for and on behalf of the undersigned, shall lawfully do or cause to be done by virtue of this Limited Power of Attorney.

This Power of Attorney shall remain in full force and effect until revoked by the undersigned in a signed writing delivered to such attorney-in-fact.

IN WITNESS WHEREOF, the undersigned has caused this Power of Attorney to be executed as of this 8th day of November, 2019.

/s/ Susan Y. Kim Susan Y. Kim

A Schedule 13D was filed by James J. Kim and those members of the reporting group who then constituted the group on November 28, 2005, as amended by Amendment No. 1 filed with the Securities and Exchange Commission (the "Commission") on April 4, 2008, Amendment No. 2 filed with the Commission on March 19, 2009, Amendment No. 3 filed with the Commission on April 16, 2009, Amendment No. 4 filed with the Commission on February 5, 2010, Amendment No. 5 filed with the Commission on March 28, 2011, Amendment No. 6 filed with the Commission on November 17, 2011, Amendment No. 7 filed with the Commission on March 29, 2013, Amendment No. 8 filed with the Commission on March 28, 2014, Amendment No. 9 filed with the Commission on March 30, 2015, Amendment No. 10 filed with the Commission on March 30, 2016, Amendment No. 11 filed with the Commission on January 20, 2017, Amendment No. 12 filed with the Commission on March 21, 2018, Amendment No. 13 filed with the Commission on November 9, 2018 and Amendment No. 14 filed with the Commission on November 9, 2018 (as further amended from time to time, the "Schedule 13D"). Those individuals and entities listed in the Schedule 13D, including the filer of this Form 5, may be deemed to be members of a group (the "Group") who each exercise voting or investment power with respect to shares of Amkor Technology, Inc.'s (the "Issuer") Common Stock in concert with other members of the Group. The Group may be deemed to beneficially own more than 10% of the outstanding voting securities of the Issuer. The reporting person states that the filing of this Form 5 Report shall not be deemed an admission that the reporting person is the beneficial owner of the reported securities owned by the other members of the Group, for the purpose of Section 16 of the Securities Exchange Act of 1934, as amended, or for any other purpose.