SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:	3235-0287
Estimated average bur	den
hours per response:	0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

	ddress of Reporting F illaume Marie		uer Name and Tick KOR TECHN					5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner				
(Last) 2045 EAST	(First) INNOVATION C	(Middle)		e of Earliest Transa 5/2022	action (N	/onth/	Day/Year)	x	Officer (give title below) Presiden	Other below t and CEO	(specify)	
(Street) TEMPE	AZ	85284	4. If A	mendment, Date of	Origina	I Filed	I (Month/Day/	(ear)	6. Indi Line) X	vidual or Joint/Group Form filed by One	e Reporting Pers	on
(City)	(State)	(Zip)								Form filed by Mon Person	re than One Rep	orting
		Table I - No	on-Derivative	Securities Acc	quired	l, Dis	posed of,	or Ber	neficially	Owned		
Date		2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)			4. Securities Acquired (A) Disposed Of (D) (Instr. 3, 4			5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	

		Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		
Common Stock	11/15/2022	М		5,000	Α	\$9.48	205,000	D	
Common Stock	11/15/2022	М		10,000	A	\$14.17	215,000	D	
Common Stock	11/15/2022	S ⁽¹⁾		17,693	D	\$27.22 ⁽²⁾	197,307	D	
Common Stock	11/15/2022	S ⁽¹⁾		12,307	D	\$27 .8 ⁽³⁾	185,000	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of Deri Sec Acq (A) o Disp of (I	umber vative urities uired or oosed D) (Instr. and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		e of Securities		8. Price of Derivative Security (Instr. 5)		10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Employee Stock Option (Right-to- Buy)	\$9.48	11/15/2022		М			5,000	02/15/2020 ⁽⁴⁾	02/15/2029	Common Stock	5,000	\$0.00	35,625	D	
Employee Stock Option (Right-to- Buy)	\$14.17	11/15/2022		М			10,000	10/30/2020 ⁽⁵⁾	07/30/2030	Common Stock	10,000	\$0.00	152,500	D	

Explanation of Responses:

1. The sale reported in this Form 4 was effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person on May 26, 2022.

2. The price reported in Column 4 is a weighted average price. The shares were sold in multiple transactions at prices ranging from \$26.64 to \$27.64. The Reporting Person hereby undertakes to provide, upon request, to the staff of the Securities and Exchange Commission (the "SEC"), Amkor Technology, Inc. (the "Issuer"), or any security holder of the Issuer, full information regarding the number of shares sold at each separate price within the range set forth in this footnote (2) to this Form 4.

3. The price reported in Column 4 is a weighted average price. The shares were sold in multiple transactions at prices ranging from \$27.65 to \$28.04. The Reporting Person hereby undertakes to provide, upon request, to the staff of the SEC, the Issuer, or any security holder of the Issuer, full information regarding the number of shares sold at each separate price within the range set forth in this footnote (3) to this Form 4

4. This stock option (the "2019 Option") to acquire 150,000 shares of the Issuer's common stock (the "2019 Option Shares") was granted on February 15, 2019 (the "2019 Grant Date") and vests over four years as follows: (i) with respect to 25% of the 2019 Option Shares, on the first anniversary of the 2019 Grant Date; and (ii) with respect to the remainder of the 2019 Option Shares, in equal quarterly installments thereafter, such that 100% of the 2019 Option will vest on the fourth anniversary of the 2019 Grant Date.

5. This stock option (the "2020 Option") to acquire 375,000 shares of the Issuer's common stock was granted on July 30, 2020 (the "2020 Grant Date") and will vest in equal quarterly installments over three years, such that 100% of the 2020 Option will be vested on the third anniversary of the 2020 Grant Date.

Remarks:

 Mark N. Rogers, Attorney-in

 Fact for Guillaume Marie Jean
 11/17/2022

 Rutten
 11/17/2022

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.