# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549



# Amkor Technology, Inc.

(Exact name of the registrant as specified in its charter)

### Delaware

(State or other jurisdiction of incorporation or organization)

000-29472 (Commission File Number)

2045 East Innovation Circle, Tempe, AZ (Address of principal executive offices)

85284 (Zip Code)

 $Mark\ N.\ Rogers\ (480)\ 821-5000$  (Name and telephone number, including area code, of the person to contact in connection with this report)

Check the appropriate box to indicate the rule pursuant to which this form is being filed:

- $\boxtimes$  Rule 13p-1 under the Securities Exchange Act (17 CFR 240.13p-1) for the reporting period from January 1 to December 31, 2021.
- □ Rule 13q-1 under the Securities Exchange Act (17 CFR 240.13q-1) for the fiscal year ended \_\_\_\_\_\_.

#### **Section 1 - Conflict Minerals Disclosure**

#### **Item 1.01 Conflict Minerals Disclosure and Report**

#### **Conflict Minerals Disclosure**

This Specialized Disclosure Report on Securities and Exchange Commission ("SEC") Form SD (this "Form SD") is filed pursuant to Section 13(p) of the Securities Exchange Act of 1934, as amended (the "Exchange Act"), and Rule 13p-1 thereunder, which implements Section 1502 of the Dodd-Frank Wall Street Reform and Consumer Protection Act (Section 13(p) of the Exchange Act, Rule 13p-1 thereunder, and Section 1502 of the Dodd-Frank Wall Street Reform and Consumer Protection Act collectively, the "Conflict Minerals Regulations"). Pursuant to the Conflict Minerals Regulations, Amkor Technology, Inc. (the "Company") conducted a good faith Reasonable Country of Origin Inquiry in 2021 (the "RCOI") on the sources of its Conflict Minerals (as defined in the Conflict Minerals Regulations) to determine whether the Conflict Minerals used when performing the Company's semiconductor packaging services originated from the Democratic Republic of the Congo or an adjoining country (the "Covered Countries") or are from recycled or scrap sources.

The Company conducted the RCOI with its direct suppliers using the Conflict Minerals Reporting Template ("CMRT"), a supply chain survey tool provided by the Responsible Minerals Initiative ("RMI"), an industry group that works to address Conflict Minerals issues within supply chains. The CMRT requests direct suppliers to identify the smelters, refiners, and countries of origin of the Conflict Minerals in their products. The Company relied primarily on responses received from its direct suppliers and information provided by the RMI to identify sources of the Conflict Minerals used by the Company.

Based on the responses to the Company's RCOI, the Company knows or has reason to believe that a portion of the Conflict Minerals used by the Company originated or may have originated from the Covered Countries or may not be solely from recycled or scrap sources.

In accordance with the Conflict Minerals Regulations, the Company's Conflict Minerals Report for the year ended December 31, 2021 (the "CMR") is attached to this Form SD as Exhibit 1.01 and is incorporated into this Form SD by reference. Both reports are available on our website under the heading "Financial Information > SEC Filings" at https://ir.amkor.com. This Form SD and Exhibit 1.01 contain references to the Company's website. The information on the Company's website is not incorporated by reference into this Form SD or the CMR, nor are they deemed "filed" with the SEC pursuant to the Exchange Act or the Securities Act of 1933, as amended.

#### Item 1.02 Exhibit

Information concerning Conflict Minerals required by the Conflict Minerals Regulations is included in Exhibit 1.01 to this Form SD.

#### **Section 2 - Resource Extraction Issuer Disclosure**

Item 2.01 Resource Extraction Issuer Disclosure and Report

Not applicable.

# Section 3 - Exhibits

Item 3.01 Exhibits

Exhibit 1.01 - Conflict Minerals Report for the reporting period January 1, 2021 to December 31, 2021, as required by Items 1.01 and 1.02 of this Form.

**SIGNATURES** Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the duly authorized undersigned. Amkor Technology, Inc. (Registrant) /s/ Mark N. Rogers May 20, 2022 Mark N. Rogers, Executive Vice President, General Counsel, and

Corporate Secretary

Date



#### Conflict Minerals Report of Amkor Technology, Inc.

This Conflict Minerals Report for the year ended December 31, 2021 (this "Report") of Amkor Technology, Inc. (the "Company," "Amkor," "we," or "us") contains forward-looking statements within the meaning of the federal securities laws. You are cautioned not to place undue reliance on forwardlooking statements, which are often characterized by terminology such as "may," "will," "should," "expects," "plans," "anticipates," "believes," "estimates," "predicts," "potential," "continue," or "intend," by the negative of these terms or other comparable terminology, or by discussions of strategy, plans, or intentions. These forward-looking statements include risks, uncertainties, assumptions, and other factors, including, among other things, our customers' requirements to use certain suppliers, our suppliers' responsiveness and cooperation with our due diligence efforts, our ability to implement improvements in our Conflict Minerals (as defined below) program, changes to the sourcing status of smelters and refiners in our supply chain, and our ability to identify and mitigate related risks in our supply chain, that could affect future results or cause actual results and events to differ materially from historical and expected results and those expressed or implied in the forward-looking statements. Other important risk factors that could affect the outcome of the events set forth in these statements and that could affect our operating results and financial condition are discussed in the Company's Annual Report on Form 10-K for the year ended December 31, 2021 (the "Form 10-K") and in the Company's subsequent reports filed with or furnished to the Securities and Exchange Commission ("SEC") prior to or after the date hereof. You should carefully consider the trends, risks, and uncertainties described in this Report, the Form 10-K, and other reports filed with or furnished to the SEC before making any investment decision with respect to our securities. If any of the trends, risks, or uncertainties described in this Report or elsewhere in our SEC reports actually occurs or continues, our business, financial condition, or operating results could be materially adversely affected, the trading prices of our securities could decline, and you could lose part or all of your investment. All forward-looking statements in this Report are made based on our current expectations, forecasts, estimates, and assumptions. Amkor undertakes no obligation to review or update any forward-looking statements to reflect events or circumstances occurring after the date of this Report except as may be required by law. All forward-looking statements attributable to us or persons acting on our behalf are expressly qualified in their entirety by this cautionary statement.

This Report was prepared in accordance with Section 13(p) of the Securities Exchange Act of 1934, as amended (the "Exchange Act"), and Rule 13p-1 thereunder, which implements Section 1502 of the Dodd-Frank Wall Street Reform and Consumer Protection Act (Section 13(p) of the Exchange Act, Rule 13p-1 thereunder, and Section 1502 of the Dodd-Frank Wall Street Reform and Consumer Protection Act collectively, the "Conflict Minerals Regulations").

#### Business Overview

Amkor is one of the world's leading providers of outsourced semiconductor packaging and test services. Our packaging and test services are designed to meet application and chip specific requirements including: the required type of interconnect technology; size; thickness; and electrical, mechanical, and thermal performance. Some of the materials we use when providing packaging services contain tantalum, tin, tungsten, or gold (collectively, "Conflict Minerals"). Test services involve checking that a packaged die meets its design and performance specifications and do not involve the use of Conflict Minerals.

The supply chain that provides us with Conflict Minerals is divided into upstream and downstream entities. An upstream entity is between the mine of origin and the smelter or refiner and includes miners, local traders, exporters from the country of mineral origin, international concentrate traders, mineral processors, smelters, and refiners. A downstream entity is between the smelter or refiner and the retailer and includes metal traders and exchanges, component manufacturers, product manufacturers, original equipment manufacturers, and retailers.

Amkor is a downstream entity and is typically several tiers removed from the smelter or refiner and mineral origin. We have limited visibility beyond our direct suppliers to entities within the supply chain. Therefore, we rely principally on our direct suppliers to provide us with sourcing information.

#### Due Diligence Overview

We undertook due diligence on the source and chain of custody of the Conflict Minerals we use when providing packaging services using tools and relying on information provided by the Responsible Minerals Initiative (the "RMI"), an industry group that works to address Conflict Minerals issues within supply chains. One RMI tool we used as part of our due diligence was the Conflict Minerals Reporting Template ("CMRT"), which facilitates the collection of information on the source of Conflict Minerals. We also relied on information from the Responsible Minerals Assurance Process ("RMAP"), a voluntary initiative managed by the RMI, in which an independent third party validates the Conflict Minerals management procedures of a smelter or refiner to determine, with reasonable confidence, that the minerals it processes were sourced responsibly. If a smelter or refiner has committed to undergo an RMAP assessment, completed the relevant documents, and scheduled the RMAP assessment, they are designated by the RMI as "Active." Upon completion of a successful audit, the smelter or refiner is designated by the RMI as "Conformant."

#### Due Diligence Design

We designed our due diligence to conform to an internationally recognized due diligence framework, the *Organisation for Economic Co-operation and Development (OECD)*, *Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas, Third Edition, and related supplements on Tin, Tantalum and Tungsten and on Gold* (collectively, the "OECD Framework").

#### Due Diligence Performed

The OECD Framework provides a five-step outline for risk-based due diligence in the mineral supply chain. Using the OECD Framework, we list below the actions taken to exercise due diligence on the source of Conflict Minerals we used when providing packaging services.

#### Step 1 - Establish Strong Management Systems

- Adopted and revised as needed our Responsible Minerals Sourcing Policy, which states our goal to source Conflict Minerals responsibly
  and is located on the "About Us" tab of www.amkor.com, under "Social Responsibility."
- Maintained an internal team to implement our Responsible Minerals Sourcing Policy, which includes members from the Procurement, Legal, and Quality Assurance teams, and reported program activities to Executive Management.
- Updated processes and procedures as appropriate to meet the requirements of our Responsible Minerals Sourcing Policy.
- Enforced the requirement that our direct suppliers undertake due diligence to achieve a conformant supply chain.
- Maintained our record retention practice for records related to the sourcing of Conflict Minerals.
- Continued our existing grievance system where suppliers can raise a question or make a report on ethical or legal issues, including issues
  relating to Conflict Minerals.

#### Step 2 - Identify and Assess Risks in the Supply Chain

- Conducted a supply chain survey of our direct suppliers of Conflict Minerals using the CMRT to identify the smelters, refiners, and/or mines of origin of Conflict Minerals.
- Compared smelters, refiners, and/or mines of origin identified by our direct suppliers against the list of smelters, refiners, and mineral sourcing information that have received a "Conformant" designation by the RMAP.
- Performed reviews of select suppliers to evaluate the reasonableness of responses received and alignment with the OECD Framework.

#### Step 3 - Design and Implement a Strategy to Respond to Identified Risks

 Updated our annual risk management plan designed to mitigate the risk that our direct suppliers do not meet our expectations to achieve a conformant supply chain.

- Conducted training and communicated periodically with our direct suppliers to increase awareness of our goal to achieve a conformant supply chain and to reduce the risk of inaccurate information received from our suppliers.
- Contacted a portion of smelters and refiners within our supply chain to encourage them to maintain their participation in the RMAP or industry equivalent. Our outreach efforts included in person training and meetings with select smelters and industry associations.
- Reported information on the source and chain of custody of Conflict Minerals in our supply chain to Executive Management.

#### Step 4 - Carry Out Independent Third-party Audits of Smelter's/Refiner's Due Diligence Practices

• Maintained our membership in the RMI, an industry group that has implemented the RMAP to carry out independent third-party audits of a smelter's or refiner's Conflict Minerals management practices. As a member, we have relied on the results of the RMAP to provide smelter, refiner, and mineral sourcing information on the Conflict Minerals we used when providing packaging services.

#### Step 5 - Report Annually on Supply Chain Due Diligence

• Published the results of our supply chain due diligence in our Form SD for the year ended December 31, 2021 and this Report, which are available on our website under the heading "Financial Information > SEC Filings" at https://ir.amkor.com.

#### Due Diligence Results

The results of our due diligence indicate that the sources of Conflict Minerals are (1) from recycled or scrap materials, (2) from within the Democratic Republic of the Congo or adjoining countries (the "Covered Countries"), or (3) from outside the Covered Countries. We received responses from all our direct suppliers subject to our supply chain survey for 2021. Collectively, their responses identified 235 smelters and refiners within their supply chains as of December 31, 2021. As of December 31, 2021, the RMAP had designated 232 of those smelters and refiners as Conformant and three smelters as Active.

The following tables list the population of smelters, refiners, and origin of Conflict Minerals within our supply chain for 2021. Our efforts to determine this population are described above under the caption "Due Diligence Performed." The information presented is derived from information provided by our direct suppliers and the RMI.

Mineral	Smelter and Refiner Name	Country Location
Gold	8853 S.p.A.	Italy
Gold	Advanced Chemical Company	United States of America
Gold	Aida Chemical Industries Co., Ltd.	Japan
Gold	Al Etihad Gold Refinery DMCC	United Arab Emirates
Gold	Allgemeine Gold-und Silberscheideanstalt A.G.	Germany
Gold	Almalyk Mining and Metallurgical Complex (AMMC)	Uzbekistan
Gold	AngloGold Ashanti Corrego do Sitio Mineracao	Brazil
Gold	Argor-Heraeus S.A.	Switzerland
Gold	Asahi Pretec Corp.	Japan
Gold	Asahi Refining Canada Ltd.	Canada
Gold	Asahi Refining USA Inc.	United States of America
Gold	Asaka Riken Co., Ltd.	Japan
Gold	Aurubis AG	Germany
Gold	Bangalore Refinery	India
Gold	Bangko Sentral ng Pilipinas (Central Bank of the Philippines)	Philippines
Gold	Boliden AB	Sweden
Gold	C. Hafner GmbH + Co. KG	Germany
Gold	CCR Refinery - Glencore Canada Corporation	Canada
Gold	Cendres + Metaux S.A.	Switzerland
Gold	Chimet S.p.A.	Italy
Gold	Chugai Mining	Japan
Gold	Dowa	Japan
Gold	DSC (Do Sung Corporation)	Korea, Republic Of
Gold	Eco-System Recycling Co., Ltd. East Plant	Japan
Gold	Eco-System Recycling Co., Ltd. North Plant	Japan
Gold	Eco-System Recycling Co., Ltd. West Plant	Japan
Gold	Emirates Gold DMCC	United Arab Emirates
Gold	Geib Refining Corporation	United States of America
Gold	Gold Refinery of Zijin Mining Group Co., Ltd.	China
Gold	Heimerle + Meule GmbH	Germany
Gold	Heraeus Germany GmbH Co. KG	Germany
Gold	Heraeus Metals Hong Kong Ltd.	China
Gold	Inner Mongolia Qiankun Gold and Silver Refinery Share Co., Ltd.	China
Gold	Ishifuku Metal Industry Co., Ltd.	Japan
Gold	Istanbul Gold Refinery	Turkey
Gold	Italpreziosi	Italy
Gold	Japan Mint	Japan
Gold	Jiangxi Copper Co., Ltd.	China
Gold	JSC Novosibirsk Refinery**	Russian Federation

Mineral	Smelter and Refiner Name	Country Location
Gold	JSC Uralelectromed**	Russian Federation
Gold	JX Nippon Mining & Metals Co., Ltd.	Japan
Gold	Kazzinc	Kazakhstan
Gold	Kennecott Utah Copper LLC	United States of America
Gold	KGHM Polska Miedz Spolka Akcyjna	Poland
Gold	Kojima Chemicals Co., Ltd.	Japan
Gold	Korea Zinc Co., Ltd.	Korea, Republic Of
Gold	L'Orfebre S.A.	Andorra
Gold	LS-NIKKO Copper Inc.	Korea, Republic Of
Gold	LT Metal Ltd.	Korea, Republic Of
Gold	Marsam Metals	Brazil
Gold	Materion	United States of America
Gold	Matsuda Sangyo Co., Ltd.	Japan
Gold	Metal Concentrators SA (Pty) Ltd.	South Africa
Gold	Metalor Technologies (Hong Kong) Ltd.	China
Gold	Metalor Technologies (Singapore) Pte., Ltd.	Singapore
Gold	Metalor Technologies (Suzhou) Ltd.	China
Gold	Metalor Technologies S.A.	Switzerland
Gold	Metalor USA Refining Corporation	United States of America
Gold	Metalurgica Met-Mex Penoles S.A. De C.V.	Mexico
Gold	Mitsubishi Materials Corporation	Japan
Gold	Mitsui Mining and Smelting Co., Ltd.	Japan
Gold	MMTC-PAMP India Pvt., Ltd.	India
Gold	Moscow Special Alloys Processing Plant**	Russian Federation
Gold	Nadir Metal Rafineri San. Ve Tic. A.S.	Turkey
Gold	Navoi Mining and Metallurgical Combinat	Uzbekistan
Gold	NH Recytech Company	Korea, Republic Of
Gold	Nihon Material Co., Ltd.	Japan
Gold	Ogussa Osterreichische Gold- und Silber- Scheideanstalt GmbH	Austria
Gold	Ohura Precious Metal Industry Co., Ltd.	Japan
Gold	OJSC "The Gulidov Krasnoyarsk Non-Ferrous Metals Plant" (OJSC Krastsvetmet)**	Russian Federation
Gold	PAMP S.A.	Switzerland
Gold	Planta Recuperadora de Metales SpA	Chile
Gold	Prioksky Plant of Non-Ferrous Metals**	Russian Federation
Gold	PT Aneka Tambang (Persero) Tbk	Indonesia
Gold	PX Precinox S.A.	Switzerland
Gold	Rand Refinery (Pty) Ltd.	South Africa
Gold	REMONDIS PMR B.V.	Netherlands
Gold	Royal Canadian Mint	Canada

Mineral	Smelter and Refiner Name	Country Location
Gold	SAAMP	France
Gold	Safimet S.p.A	Italy
Gold	SAFINA A.S.	Czechia
Gold	Samduck Precious Metals	Korea, Republic Of
Gold	SEMPSA Joyeria Plateria S.A.	Spain
Gold	Shandong Gold Smelting Co., Ltd.	China
Gold	Shandong Zhaojin Gold & Silver Refinery Co., Ltd.	China
Gold	Sichuan Tianze Precious Metals Co., Ltd.	China
Gold	Singway Technology Co., Ltd.	Taiwan, Province of China
Gold	SOE Shyolkovsky Factory of Secondary Precious Metals**	Russian Federation
Gold	Solar Applied Materials Technology Corp.	Taiwan, Province of China
Gold	Sumitomo Metal Mining Co., Ltd.	Japan
Gold	SungEel HiMetal Co., Ltd.	Korea, Republic Of
Gold	T.C.A S.p.A	Italy
Gold	Tanaka Kikinzoku Kogyo K.K.	Japan
Gold	Tokuriki Honten Co., Ltd.	Japan
Gold	TOO Tau-Ken-Altyn	Kazakhstan
Gold	Torecom	Korea, Republic Of
Gold	Umicore Precious Metals Thailand	Thailand
Gold	Umicore S.A. Business Unit Precious Metals Refining	Belgium
Gold	United Precious Metal Refining, Inc.	United States of America
Gold	Valcambi S.A.	Switzerland
Gold	Western Australian Mint (T/a The Perth Mint)	Australia
Gold	WIELAND Edelmetalle GmbH	Germany
Gold	Yamakin Co., Ltd.	Japan
Gold	Yokohama Metal Co., Ltd.	Japan
Gold	Zhongyuan Gold Smelter of Zhongjin Gold Corporation	China
Tantalum	Changsha South Tantalum Niobium Co., Ltd.	China
Tantalum	D Block Metals, LLC	United States of America
Tantalum	F&X Electro-Materials Ltd.	China
Tantalum	FIR Metals & Resource Ltd.	China
Tantalum	Global Advanced Metals Aizu	Japan
Tantalum	Global Advanced Metals Boyertown	United States of America
Tantalum	Guangdong Rising Rare Metals-EO Materials Ltd.	China
Tantalum	H.C. Starck Hermsdorf GmbH	Germany
Tantalum	H.C. Starck Inc.	United States of America
Tantalum	Hengyang King Xing Lifeng New Materials Co., Ltd.	China
Tantalum	Jiangxi Dinghai Tantalum & Niobium Co., Ltd.	China
Tantalum	Jiangxi Tuohong New Raw Material	China

Mineral	Smelter and Refiner Name	Country Location
Tantalum	JiuJiang JinXin Nonferrous Metals Co., Ltd.	China
Tantalum	Jiujiang Tanbre Co., Ltd.	China
Tantalum	Jiujiang Zhongao Tantalum & Niobium Co., Ltd.	China
Tantalum	KEMET de Mexico	Mexico
Tantalum	LSM Brasil S.A.	Brazil
Tantalum	Metallurgical Products India Pvt., Ltd.	India
Tantalum	Mineracao Taboca S.A.	Brazil
Tantalum	Mitsui Mining and Smelting Co., Ltd.	Japan
Tantalum	Ningxia Orient Tantalum Industry Co., Ltd.	China
Tantalum	NPM Silmet AS	Estonia
Tantalum	QuantumClean	United States of America
Tantalum	Resind Industria e Comercio Ltda.	Brazil
Tantalum	Solikamsk Magnesium Works OAO	Russian Federation
Tantalum	Taki Chemical Co., Ltd.	Japan
Tantalum	TANIOBIS Co., Ltd.	Thailand
Tantalum	TANIOBIS GmbH	Germany
Tantalum	TANIOBIS Japan Co., Ltd.	Japan
Tantalum	TANIOBIS Smelting GmbH & Co. KG	Germany
Tantalum	Telex Metals	United States of America
Tantalum	Ulba Metallurgical Plant JSC	Kazakhstan
Tantalum	XIMEI RESOURCES (GUANGDONG) LIMITED	China
Tantalum	XinXing HaoRong Electronic Material Co., Ltd.	China
Tantalum	Yanling Jincheng Tantalum & Niobium Co., Ltd.	China
Tin	Alpha	United States of America
Tin	Chenzhou Yun Xiang mining limited liability company	China
Tin	Chifeng Dajingzi Tin Industry Co., Ltd.	China
Tin	China Tin Group Co., Ltd.	China
Tin	CV Venus Inti Perkasa*	Indonesia
Tin	Dowa	Japan
Tin	EM Vinto	Bolivia (Plurinational State Of)
Tin	Fenix Metals	Poland
Tin	Gejiu Kai Meng Industry and Trade LLC**	China
Tin	Gejiu Non-Ferrous Metal Processing Co., Ltd.	China
Tin	Gejiu Yunxin Nonferrous Electrolysis Co., Ltd.	China
Tin	Gejiu Zili Mining And Metallurgy Co., Ltd.	China
Tin	Guangdong Hanhe Non-Ferrous Metal Co., Ltd.	China
Tin	Jiangxi New Nanshan Technology Ltd.	China
Tin	Luna Smelter, Ltd.	Rwanda
Tin	Ma'anshan Weitai Tin Co., Ltd.	China

Brazil

Magnu's Minerais Metais e Ligas Ltda.

Tin

Mineral	Smelter and Refiner Name	Country Location
Tin	Malaysia Smelting Corporation (MSC)	Malaysia
Tin	Melt Metais e Ligas S.A.**	Brazil
Tin	Metallic Resources, Inc.	United States of America
Tin	Metallo Belgium N.V.	Belgium
Tin	Metallo Belgium N.V.	Brazil
Tin	Metallo Spain S.L.U.	Spain
Tin	Mineracao Taboca S.A.	Brazil
Tin	Minsur	Peru
Tin	Mitsubishi Materials Corporation	Japan
Tin	O.M. Manufacturing (Thailand) Co., Ltd.	Thailand
Tin	O.M. Manufacturing Philippines, Inc.	Philippines
Tin	Operaciones Metalurgicas S.A.	Bolivia (Plurinational State Of)
Tin	PT Artha Cipta Langgeng	Indonesia
Tin	PT ATD Makmur Mandiri Jaya	Indonesia
Tin	PT Babel Surya Alam Lestari	Indonesia
Tin	PT Bangka Serumpun	Indonesia
Tin	PT Menara Cipta Mulia	Indonesia
Tin	PT Mitra Stania Prima	Indonesia
Tin	PT Mitra Sukses Globalindo*	Indonesia
Tin	PT Prima Timah Utama	Indonesia
Tin	PT Rajawali Rimba Perkasa	Indonesia
Tin	PT Refined Bangka Tin	Indonesia
Tin	PT Sariwiguna Binasentosa	Indonesia
Tin	PT Stanindo Inti Perkasa	Indonesia
Tin	PT Timah Nusantara*	Indonesia
Tin	PT Timah Tbk Kundur	Indonesia
Tin	PT Timah Tbk Mentok	Indonesia
Tin	PT Tinindo Inter Nusa	Indonesia
Tin	Resind Industria e Comercio Ltda.	Brazil
Tin	Rui Da Hung	Taiwan, Province of China
Tin	PT Babel Inti Perkasa	Indonesia
Tin	Soft Metais Ltda.	Brazil
Tin	Thai Nguyen Mining and Metallurgy Co., Ltd.	Vietnam
Tin	Thaisarco	Thailand
Tin	Tin Technology & Refining	United States of America
Tin	White Solder Metalurgia e Mineracao Ltda.	Brazil
Tin	Yunnan Chengfeng Non-ferrous Metals Co., Ltd.	China
Tin	Yunnan Tin Company Limited	China
Tin	Yunnan Yunfan Non-ferrous Metals Co., Ltd.**	China
Tungsten	A.L.M.T. Corp.	Japan

Mineral	Smelter and Refiner Name	Country Location
Tungsten	ACL Metais Eireli	Brazil
Tungsten	Asia Tungsten Products Vietnam Ltd.	Vietnam
Tungsten	Chenzhou Diamond Tungsten Products Co., Ltd.	China
Tungsten	China Molybdenum Tungsten Co., Ltd.	China
Tungsten	Chongyi Zhangyuan Tungsten Co., Ltd.	China
Tungsten	Cronimet Brasil Ltda	Brazil
Tungsten	Fujian Ganmin RareMetal Co., Ltd.	China
Tungsten	Ganzhou Haichuang Tungsten Co., Ltd.	China
Tungsten	Ganzhou Huaxing Tungsten Products Co., Ltd.	China
Tungsten	Ganzhou Jiangwu Ferrotungsten Co., Ltd.	China
Tungsten	Ganzhou Seadragon W & Mo Co., Ltd.	China
Tungsten	Global Tungsten & Powders Corp.	United States of America
Tungsten	Guangdong Xianglu Tungsten Co., Ltd.	China
Tungsten	H.C. Starck Tungsten GmbH	Germany
Tungsten	Hunan Chenzhou Mining Co., Ltd.	China
Tungsten	Hunan Chunchang Nonferrous Metals Co., Ltd.	China
Tungsten	Hydrometallurg, JSC	Russian Federation
Tungsten	Japan New Metals Co., Ltd.	Japan
Tungsten	Jiangwu H.C. Starck Tungsten Products Co., Ltd.	China
Tungsten	Jiangxi Gan Bei Tungsten Co., Ltd.	China
Tungsten	Jiangxi Tonggu Non-ferrous Metallurgical & Chemical Co., Ltd.	China
Tungsten	Jiangxi Xinsheng Tungsten Industry Co., Ltd.	China
Tungsten	Jiangxi Yaosheng Tungsten Co., Ltd.	China
Tungsten	Kennametal Fallon	United States of America
Tungsten	Kennametal Huntsville	United States of America
Tungsten	KGETS Co., Ltd.	Korea, Republic Of
Tungsten	Lianyou Metals Co., Ltd.	Taiwan, Province of China
Tungsten	Malipo Haiyu Tungsten Co., Ltd.	China
Tungsten	Masan High-Tech Materials	Vietnam
Tungsten	Moliren Ltd.	Russian Federation
Tungsten	Niagara Refining LLC	United States of America
Tungsten	Philippine Chuangxin Industrial Co., Inc.	Philippines
Tungsten	TANIOBIS Smelting GmbH & Co. KG	Germany
Tungsten	Unecha Refractory metals plant	Russian Federation
Tungsten	Wolfram Bergbau und Hutten AG	Austria
Tungsten	Xiamen Tungsten (H.C.) Co., Ltd.	China
Tungsten	Xiamen Tungsten Co., Ltd.	China
Tungsten	Xinfeng Huarui Tungsten & Molybdenum New Material Co., Ltd.	China

Denotes smelters and refiners that have been designated by the RMAP as "Active" as of December 31, 2021. The smelter/refiner has changed its conformance status since December 31, 2021.

#### Countries of Origin for Conflict Minerals, To the Extent Known

Argentina Guyana Rwanda India Australia Sierra Leone Austria Indonesia South Africa Belgium Japan South Korea Bolivia (Plurinational State Of) Laos Spain Brazil Madagascar Sweden

Burundi Malaysia Taiwan, Province of China

CanadaMexicoTanzaniaChinaMongoliaThailandColombiaMozambiqueUganda

Congo, Democratic Republic of the Myanmar United Kingdom

Cuba\* Namibia United States of America

EthiopiaNigeriaUzbekistanFrancePeruVenezuelaGermanyPhilippinesVietnamGhanaPortugalZimbabwe

Guinea Russian Federation

#### Due Diligence Improvements

We plan to undertake the following steps in 2022 to build on our efforts to source Conflict Minerals responsibly:

- Continue engaging with our direct suppliers through periodic communications, training, and evaluation of the smelter and refiner data provided to us.
- Maintain our efforts to identify the smelters and refiners within our supply chain by evaluating the information received from our direct suppliers and comparing it with updated information published by the RMI.
- Contact a portion of our smelters and refiners directly to encourage them to maintain their participation in the RMAP or industry
  equivalent.
- Continue our active involvement in the RMI and support industry efforts to improve the monitoring and reporting of supply chain activities.

<sup>\*</sup> This country was identified in the aggregated list of potential countries of origin reported by RMI for the conformant smelters and refiners listed above. As Amkor's direct suppliers generally provide smelter and refiner information at the company level, the aggregate information reported by RMI does not necessarily imply that minerals originating from this country are incorporated in the materials purchased by Amkor.