SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB Number:	3235-0287						
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Check this box if no longer subje to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).	ct
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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] <u>KIM JAMES J</u>		Person*	2. Issuer Name and Ticker or Trading Symbol AMKOR TECHNOLOGY, INC. [AMKR]		5. Relationship of Reporting Person(s) to (Check all applicable) X Director X 10% C			
(Last) (First) (Middle) C/O SIANA CARR O'CONNOR & LYNAM, 1500 EAST LANCASTER AVENUE,		NOR & LYNAM,	- 3. Date of Earliest Transaction (Month/Day/Year) 02/11/2022	-	Officer (give title below) Member of 10% of	X owne	Other (specify below) r group (5)	
(Street) PAOLI (City)	PA (State)	19301-9713 (Zip)	 4. If Amendment, Date of Original Filed (Month/Day/Year) 	6. Indiv Line) X	ridual or Joint/Group Form filed by One Form filed by More Person	Repor	ting Person	

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

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1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)					5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)	(Instr. 4)	(Instr. 4)
Common Stock	02/11/2022		F		684(1)	D	\$20.9	1,865,868	D	
Common Stock								1,855,776 ⁽²⁾	I	By spouse
Common Stock								49,594,980 ⁽²⁾⁽³⁾	I	By 915 Investments, LP
Common Stock								6,430,381 ⁽²⁾⁽³⁾⁽⁴⁾	I	By own GRATs
Common Stock								14,175,258 ⁽²⁾⁽³⁾⁽⁴⁾	I	By trusts (excl. own GRATs)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 1. Title of 3. Transaction 5. Number 6. Date Exercisable and 7. Title and 9. Number of 11. Nature 3A. Deemed 8. Price of 10. Transaction Amount of Securities derivative Securities of Indirect Beneficial Derivative Conversion Execution Date, Expiration Date (Month/Day/Year) Derivative Ownership (Month/Day/Year) Derivative Code (Instr. Security or Exercise if any Security Form: (Instr. 3) Price of Derivative (Month/Day/Year) Direct (D) 8) Securities Underlying (Instr. 5) Beneficially Ownership Acquired Derivativ Owned or Indirect (I) (Instr. 4) (Instr. 4) Security (Instr. 3 and 4) Security (A) or Disposed Following Reported Transaction(s) of (D) (Instr. 3. 4 (Instr. 4) and 5) Amount Number Expiration Date Date Title Shares Code v (A) (D) Exercisable

Explanation of Responses:

1. The transaction represents shares withheld by Amkor Technology, Inc. (the "Issuer") in connection with the vesting of certain restricted stock units granted to the Reporting Person on February 11, 2021 pursuant to the Issuer's Equity Incentive Plan and the related award agreement. These shares were withheld to satisfy the Reporting Person's tax withholding obligations. The Issuer will pay these taxes on behalf of the Reporting Person.

2. The Reporting Person disclaims beneficial ownership of these securities, except to the extent of the Reporting Person's pecuniary interest therein, and this report shall not be deemed an admission that the Reporting Person is the beneficial owner of such securities, except to the extent of the Reporting Person's pecuniary interest therein, for purposes of Section 16 of the Securities Exchange Act of 1934, as amended ("Section 16"), or for any other purpose.

3. Pursuant to the Form 4 instructions, the Reporting Person is being treated as having a pecuniary interest in all of these securities held by these trusts and partnership.

4. The Reporting Person is (i) a trustee of family trusts for the benefit of his immediate family members that own shares of the Issuer's Common Stock and (ii) with respect to the grantor-retained annuity trusts referenced in Table 1 above, the settlor, trustee, and the sole annuitant for such trusts.

Remarks:

(5) The Reporting Person states that the filing of this Form 4 shall not be deemed an admission that the Reporting Person is the beneficial owner of the reported securities owned by the other members of the group, for the purpose of Section 16, or for any other purpose.

Mark N. Rogers, Attorney-in-Fact for James J. Kim
<u>02/15/2022</u>

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.